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October 24, 2003

Mail Stop DD
Commissioner for Patents
Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial No. 10/600,712
For: FILTERED CIGARETTE INCORPORATING A BREAKABLE CAPSULE
Our Reference No: 14150-00601

Dear Sir:

Transmitted herewith for filing in the U.S. Patent and Trademark Office in connection with the above-referenced application are the following documents:

- (1) Information Disclosure Statement (1 page);
- (2) PTO/SB/08A (4 pages); and
- (3) 72 cited references (66 U.S. patent documents and 6 foreign patent documents).

This Information Disclosure Statement is being submitted before the mailing of a first Office Action on the merits. No certification or fee is required.

Please date-stamp the enclosed copy of this letter, acknowledging receipt of the above-identified documents, and return it to us.

Sincerely yours,

A handwritten signature in black ink, appearing to read "David R. Yohannan".

DAVID R. YOHANNAN, Reg. No. 37,480

Enclosures

DRY/ejw

cc: Mr. August J. Borschke



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

Application of: M. F. DUBE Examiner: TBA

Application No.: 10/600,712 Group: TBA

Filed: June 23, 2003

For: FILTERED CIGARETTE INCORPORATING A
BREAKABLE CAPSULE

Attorney Docket #: 14150-00601

Information Disclosure Statement

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Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.56, and 1.97-1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the references listed on the attached form PTO/SB/08A. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted before the mailing of a first Office Action on the merits. No certification or fee should be required.

If any additional fees are required, the Commissioner is hereby invited to contact Applicants' undersigned representative to arrange payment.

Respectfully submitted,

Date: October 24, 2003

DAVID R. YOHANNAN, Reg. No. 37,480
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PTO/SB/08A (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/600,712
Filing Date	June 23, 2003
First Named Inventor	M. F. DUBE
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	14150-00601

Sheet 1 of 4

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2002/0020420	02/21/2002	Xue et al.	
		US- 2002/0166563	11/14/2002	Jupe et al.	
		US- 2003/0098033	05/29/2003	Macadam et al.	
		US- 1,726,737	09/03/1929	Harris	
		US- 2,808,057	10/01/1957	M. F. Jaksch	
		US- 2,863,461	12/09/1958	H. W. Frost, Jr.	
		US- 3,066,681	12/04/1962	C. C. Cohn	
		US- 3,270,750	09/06/1966	Campell	
		US- 3,279,476	10/18/1966	Noznick et al.	
		US- 3,288,145	11/29/1966	S. R. Rosenthal	
		US- 3,297,038	01/10/1967	F. Homburger	
		US- 3,334,636	08/08/1967	A. A. Zuber	
		US- 3,339,557	09/05/1967	L. W. Karalus	
		US- 3,339,558	09/05/1967	N. J. Waterbury	
		US- 3,366,121	01/30/1968	M. F. Carty	
		US- 3,390,686	07/02/1968	Irby, Jr., et al.	
		US- 3,420,242	01/07/1969	M. N. Boukair	
		US- 3,428,049	02/18/1969	Leake et al.	
		US- 3,508,558	04/28/1970	B. M. Seyburn	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 86/04488	08/14/1986	Jensen		
		WO 02/03819	01/17/2002	Lecoultre		
		WO 03/009711	02/06/2003	Kim		
		WO 03/039276	05/15/2003	Gosebruch		
		DE 1692936	01/13/1972	Jensen		
		DE 22 28 457	10/12/1978	Brooks et al.		

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SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
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(Use as many sheets as necessary)

Sheet **2**of **4****Complete if Known**

Application Number	10/600,712
Filing Date	June 23, 2003
First Named Inventor	M. F. DUBE
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	14150-00601

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		Number-Kind Code ² (if known)			
		US- 3,513,859	05/26/1970	M. F. Carty	
		US- 3,515,146	06/02/1970	R. N. Nealis	
		US- 3,525,582	08/25/1970	N. J. Waterbury	
		US- 3,547,130	12/15/1970	Harlow, et al.	
		US- 3,575,180	04/20/1971	Carty	
		US- 3,596,665	08/03/1971	Lindgard	
		US- 3,602,231	08/31/1971	Dock	
		US- 3,602,235	08/31/1971	Dock	
		US- 3,625,228	12/07/1971	Dock	
		US- 3,635,226	01/18/1972	Horsewell, et al.	
		US- 3,669,128	06/13/1972	Cohen	
		US- 3,683,936	08/15/1972	O'Neil, Jr.	
		US- 3,685,521	08/22/1972	Dock	
		US- 3,797,644	03/19/1974	Shaw	
		US- 3,916,914	11/04/1975	Brooks et al.	
		US- 3,972,335	08/03/1976	Tiggelbeck et al.	
		US- 3,991,773	11/16/1976	Walker	
		US- 4,003,387	01/18/1977	Goldstein	
		US- 4,046,063	09/06/1977	Berger	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **3**

of **4**

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Filing Date	June 23, 2003
First Named Inventor	M. F. DUBE
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U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 4,046,153	09/06/1977	Kaye	
		US- 4,064,791	12/27/1977	Berger	
		US- 4,075,936	02/28/1978	Berger	
		US- 4,082,098	04/04/1978	Owens, Jr.	
		US- 4,126,141	11/21/1978	Grossman	
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		US- 4,677,995	07/07/1987	Kallianos et al.	
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		US- 4,862,905	09/05/1989	Green, Jr. et al.	
		US- 4,865,056	09/12/1989	Tamaoki et al.	
		US- 4,881,555	11/21/1989	Bolt et al.	
		US- 4,889,144	12/26/1989	Tateno et al.	
		US- 4,920,990	05/01/1990	Lawrence et al.	
		US- 4,941,486	07/17/1990	Dube et al.	
		US- 5,012,829	05/07/1991	Thesing et al.	
		US- 5,025,814	06/25/1991	Raker	
		US- 5,067,500	11/26/1991	Keritsis	

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